

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	Application Number	10/813,999
	Filing Date	March 26, 2004
	First Named Inventor	Frey, Gregor
	Group Art Unit	4152
	Examiner Name	Highter, Trevillian
Sheet 1 of 3	Attorney Docket No: 6570P053	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No ¹	USP Document Number	Publication or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No ¹	Foreign Patent Document Country Code/Number/Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
/T.H./		BURTSCHER, M., ""VPC3: A Fast and Effective Trace-Compression Algorithm"", ACM, (2004), pg. 167-176				
/T.H./		CHANDER, AJAY, et al., "Mobile Code Security by Java Bytecode Instrumentation", AJAY CHANDER et al., "Mobile Code Security by Java Bytecode Instrumentation", Proceedings of the DARPA Information Survivability Conference & Exposition DISCEX-II 2001, June 12-14, 2001, Stanford University and University of Pennsylvania, [*Partially suppo, (June 12-14, 2001), 14 pgs.				
/T.H./		CHANG, L., et al., "A Network Status Monitoring System Using Personal Computer", Chang, L.; Chan, W.-L.; Chang, J.; Ting, P.; Netrakanti, M., "A Network Status Monitoring System Using Personal Computer", Global Telecommunications Conference, 1989, and Exhibition, 'Communications Technology for the 1990s and Beyond', GLOBECOM '89, IEEE, (1989), Pgs 201-206				
/T.H./		COHEN, GEOFF A., et al., "An Architecture for Safe Bytecode Insertion", GEOFF A. COHEN, et al., Software-Practice and Experience, [Version: 2000/03/06 v2.1] "An Architecture for Safe Bytecode Insertion", Department of Computer Science, Duke University (27 pgs.), (03/06/2000), 27 pgs.				
/T.H./		COHEN, GEOFF A., et al., "Automatic Program Tranformation with JOIE", Paper, Departmen of Computer Science, Duke University, 12 pages.,				
/T.H./		DAHLM, MARKUS, "Welcome to the Byte Code Engineering Library 4.4.1", http://bcel.sourceforge.net/main.html , last updated 4/12/02, 2 pages, printed September 28, 2006.,				
/T.H./		DAVIES, JONATHAN, et al., "An Aspect Oriented Performance Analysis Environment", JONATHAN DAVIES, et al., Proceedings of the 2nd international conference on "An Aspect Oriented Performance Analysis Environment", 10 pgs., 2003, Boston, Massachusetts March 17-21, 2003, (Mar. 17-21, 2003), 10 pgs.				
/T.H./		GAGNON, ETIENNE, et al., "Effective Inline-Threaded Interpretation of Java Bytecode Using Preparation Sequences", ETIENNE GAGNON, et al., "Effective Inline-Threaded Interpretation of Java Bytecode Using Preparation Sequences", Sable Research Group, Universite du Quebec a Montreal and McGill University, Montreal, Canida, January 23 2003 (15 pgs.), (Jan. 2003), 15 pgs.				

EXAMINER

/Trevillian Highter/

DATE CONSIDERED

08/21/2008

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	Application Number	10/813,999
	Filing Date	March 26, 2004
	First Named Inventor	Frey, Gregor
	Group Art Unit	4152
	Examiner Name	Highter, Trevillian
Sheet 2 of 3		Attorney Docket No: 6570P053

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published.	T ²
		GILL, PETER W., "Probing for a Continued Validation Prototype", PETER W. GILL, "Probing for a Continued Validation Prototype", a Thesis Submitted to the Faculty of the Worcester Polytechnic Institute, May 2001, (111 pages), (May 2001), 111 pages.	
		GOLDBERG, ALLEN, et al., "Instrumentation of Java Bytecode for Runtime Analysis", ALLEN GOLDBERG, et al., "Instrumentation of Java Bytecode for Runtime Analysis", Fifth ECOOP Workshop on Formal Techniques for Java-like Programs, July 21, 2003, Kestrel Technology, NASA Ames Research Center, Moffett Field, California USA, (9 pgs.), (July 21, 2003), 9 pgs.	
		HAMPTON, KIP, "High-Performance XML Parsing with SAX", Published on XML.com by 14 February 2001.	
		HART, JAMES, ""Early Adopter: J2SE 1.4"", chapter 5, Wrox Press, (September 2001), pp. 1-12	
		KAPLAN, et al., ""Flexible Reference Trace Reduction for VM Simulations"", ACM, (2003), pg. 1-38	
		KELLER, RALPH, et al., "Supporting the Integration and Evolution of Components Through Binary Component Adaptation", www.cs.ucsb.edu/oocsb, September 9, 1997, Technical Report TRCS97-15, 12 pages.	
		LEE, HAN B., "BIT: Bytecode Instrumenting Tool", University of Colorado, Department of Computer Science 1997, 51 pages.	
		LEE, HAN B., et al., "BIT: A Tool for Instrumenting Java Bytecodes", HAN BOK LEE, et al., "BIT: A Tool for Instrumenting Java Bytecodes", originally published in the Proceedings of the USENIX Symposium on Internet Technologies and Systems, Monterey, California, December 1997, www.usenix.org/ (11 pgs.), (December 1997), 11 pgs.	
		LI, WEN, et al., "Collaboration Transparency in the DISCIPLE Framework", WEN LI, et al., "Collaboration Transparency in the DISCIPLE Framework", CAIP Center, Rutgers - The State University of New Jersey, Piscataway, NJ, USA, Proceeding of the ACM International Conference on Supporting Group Work (Group '99) November 14-17, 1999, (Nov. 14-17, 1999), 10 pgs.	
		MACRIDES, NATHAN, "SANS Security Essentials (GSEC) Practical Assignment Version 1.4", NATHAN MACRIDES, Security Techniques for Mobile Code "SANS Security Essentials (GSEC) Practical Assignment Version 1.4", July 11, 2002, (11 pgs.), (7/11/02), 11 pgs.	
		MCLAUGHLIN, BRETT, "Tip: Set up a SAX Parser", Published by International Business Machines Corporation on 2 July 2003.	
		MCNAMEE, DYLAN, et al., "Specialization Tools and Techniques for Systematic Optimization of System Software", DYLAN MCNAMEE, et al., "Specialization Tools and Techniques for Systematic Optimization of System Software", Oregon Graduate Institute of Science & Technology, and University of Rennes/IRISA, ACM Transactions on Computer Systems, 2001 (30 pgs.), (2001), 30 pgs.	

EXAMINER

DATE CONSIDERED

Based on PTO/SB/08A(09-08) - Substitute Disclosure Statement Form (PTO-1449) as modified by BSTZ 03/28/07
 * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	Application Number	10/813,999
	Filing Date	March 26, 2004
	First Named Inventor	Frey, Gregor
	Group Art Unit	4152
	Examiner Name	Highter, Trevillian
Sheet 3 of 3	Attorney Docket No: 6570P053	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		NIKOLOV, NIKOLAI , "Classfile Conversion Into An Organization of Objects, and Modification Thereof, To Effect Bytecode Modification", <u>NIKOLOV, NIKOLAI, "Classfile Conversion Into An Organization of Objects, and Modification Thereof, To Effect Bytecode Modification", Serial number 10/750,396, Office Action mailed September 5, 2006. Copy of the Office Action, claims as they stood in the app,</u>	
		NIKOLOV, NIKOLAI , "Execution of Modified Byte Code for Debugging, Testing And/Or Monitoring of Object Oriented Software", <u>NIKOLAI NIKOLOV, "Execution of Modified Byte Code for Debugging, Testing And/Or Monitoring of Object Oriented Software", Serial number 10/749,617, filed on December 30, 2003, Office Action mailed August 23, 2006. Copy of the Office Action, claims as they sto,</u>	
		PLOESH, REINHOLD , "Evaluation of Assertion Support for the Java Programming Language", <u>REINHOLD PLOESH, Johannes Kepler University Linz, Austria, "Evaluation of Assertion Support for the Java Programming Language", JOT: Journal of Object Technology, Vol. 1, No. 3, Special Issue: TOOLS USA 2002 Proceedings, pp. 5-17, http://www.jot.fm/issues/, (2002), 5-17</u>	
		RUDYS, ALGIS , et al., "Enforcing Java Run-Time Properties Using Bytecode Rewriting", <u>ALGIS RUDYS, et al., "Enforcing Java Run-Time Properties Using Bytecode Rewriting", International Symposium on Software Security (Tokyo, Japan), November 2002, Rice University, Houston, TX 77005, USA (16 pgs.), (November 2002), 16 pgs.</u>	
		SNYDER, ALAN , "The Essence of Objects: Concepts and Terms", <u>IEEE Software, January 1993, pp. 31-42, Sunsoft, Mountain View,</u>	
		STEPHENSON, BEN , et al., "Characterization and Optimization of Java Applications", <u>BEN STEPHENSON, et al., "Characterization and Optimization of Java Applications" Department of Computer Science, Abstract in Western Research Forum Program & Abstracts, page 20, 2003., (2003), Page 20</u>	
		WELCH, IAN , et al., "Kava - A Reflective Java Based on Bytecode Rewriting", <u>IAN WELCH, et. al., "Kava - A Reflective Java Based on Bytecode Rewriting" SpringerLink - Verlag Berling Heidelberg 2000, Chapter, Lectures Notes in Computer Science, W. Cazzola, et al. Editors, Reflection and Software Engineering, LNCS, pages 155-167., (2000), 155-167</u>	
		WU, et al., ""From Trace Generation to Visualization: A Performance Framework for Distributed Parallel Systems"", <u>IEEE, (2000), pp. 1-18</u>	

EXAMINER

DATE CONSIDERED